

Left Hopf algebroids, (quasi)-Frobenius algebras

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For Hopf algebra, the notion of integral was introduced by Sweedler (1969) and Larson-Sweedler (1969) proved their theorem for Hopf modules.

What is a Hopf algebra over a non necessarily basis?

- Hopf algebroids in the sense of Böhm (Lu, Böhm-Szlachanyi , etc...) : An antipode is assumed to exist. Integral theory was studied by Böhm (2005).
- \times_A -Hopf algebras (in the sense of Schauenburg) or left Hopf algebroids. An antipode is not required to exist but for any element h , the element $h_{(1)} \otimes S(h_2)$. Can be seen as Hopf monads and integral theory was developed by Bruguières-Virelizier (2007).

Hopf algebroids are left Hopf algebroids but the converse is not true in general (see Krähmer-Rovi 2015).

We will extend some results of Böhm to left Hopf algebroids thanks to a recent result of Schauenburg (explicit formulas given by Kowalzig). We will characterize left Hopf algebroids that are a (quasi)-Frobenius extension of their basis.

Many author have studied relations between Hopf algebras and Frobenius algebras : Pareigis, Böhm-Nill-Szlachányi, Böhm, Iovanov-Kadison, Balan, Saracco, etc...

- k will be a field and A will be a k -algebra with unit. Unadorned tensor products are tensor products over k .
- An A -ring (H, μ, η) is a monoid in the monoidal category $(A^e\text{-Mod}, \otimes_A, A)$ of A^e -modules fulfilling the associativity and the unitality conditions.
- (Bohm) A -rings H correspond bijectively to k -algebra homomorphisms $\iota : A \longrightarrow H$. An A -ring H is endowed with an A^e -module structure:

$$\forall h \in H, \quad a, b \in H, \quad a \cdot h \cdot b = \iota(a)h\iota(b).$$

- An A -coring C is a comonoid in the monoidal category of A^e -modules satisfying the coassociativity and the counitality conditions. As usual, we adopt Sweedler's Σ -notation $\Delta(c) = c_{(1)} \otimes c_{(2)}$ or $\Delta(c) = c^{(1)} \otimes c^{(2)}$ for $c \in C$.

For an $A^e = A \otimes A^{op}$ -ring U given by the k -algebra morphism $\eta : A^e \rightarrow U$, consider the restrictions

$$s := \eta(- \otimes 1_U) : A \rightarrow U \text{ and } t := \eta(1_U \otimes -) : A^{op} \rightarrow U,$$

called *source* and *target* map, respectively. Thus an A^e -ring U carries two A -module structures from the left and two from the right, namely

$$a \triangleright u \triangleleft b := s(a)t(b)u, \quad a \blacktriangleright u \blacktriangleleft b := ut(a)s(b), \quad \forall a, b \in A, u \in U.$$

If we let $U_{\triangleleft} \otimes_{A \triangleright} U$ be the corresponding tensor product of U (as an A^e -module) with itself, we define the (*left*) *Takeuchi-Sweedler product* as

$$U_{\triangleleft} \times_{A \triangleright} U := \left\{ \sum_i u_i \otimes u'_i \in U_{\triangleleft} \otimes_{A \triangleright} U \mid \sum_i (a \blacktriangleright u_i) \otimes u'_i = \sum_i u_i \otimes (u'_i \blacktriangleleft a), \forall a \in A \right\} \quad (0.1)$$

By construction, $U_{\triangleleft} \times_{A \triangleright} U$ is an A^e -submodule of $U_{\triangleleft} \otimes_{A \triangleright} U$; it is also an A^e -ring via factorwise multiplication, with unit $1_U \otimes 1_U$ and $\eta_{U_{\triangleleft} \times_{A \triangleright} U}(a \otimes \tilde{a}) := s(a) \otimes t(\tilde{a})$.

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Can also define the right Takeuchi-Sweedler product as $U_{\blacktriangleleft} \times_A \blacktriangleright U$, which is an A^e -ring inside $U_{\blacktriangleleft} \otimes_{A \blacktriangleright} U$.

Definition

(Takeuchi) A *left bialgebroid* (U, A) is a k -module U with the structure of an A^e -ring (U, s^ℓ, t^ℓ) and an A -coring $(U, \Delta_\ell, \epsilon)$ subject to the following compatibility relations:

- ① the A^e -module structure on the A -coring U is that of ${}_{\triangleright}U_{\triangleleft}$;
- ② the coproduct Δ_ℓ is a unital k -algebra morphism taking values in $U_{\triangleleft} \times_{A \triangleright} U$;
- ③ for all $a, b \in A, u, u' \in U$, one has $\epsilon(1_U) = 1_A$ and :

$$\epsilon(a \triangleright u \triangleleft b) = a\epsilon(u)b, \quad \epsilon(uu') = \epsilon(u \triangleleft \epsilon(u')) = \epsilon(\epsilon(u') \triangleright u). \quad (0.2)$$

A *morphism* between left bialgebroids (U, A) and (U', A') is a pair (F, f) of maps $F : U \rightarrow U'$, $f : A \rightarrow A'$ that commute with all structure maps in an obvious way.

Remark

Szlachànyi has shown that left bialgebroids may be interpreted in terms of bimonads.

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The notion of a *right bialgebroid* is obtained from that of *left bialgebroid* exchanging the role of $\triangleright, \triangleleft$ and $\blacktriangleright, \blacktriangleleft$. Then one starts with the A^e -module structure given by \blacktriangleright and \blacktriangleleft instead of \triangleright and \triangleleft and the coproduct takes values in $U_{\blacktriangleleft} \times_{A} \blacktriangleright U$ instead of $U_{\triangleleft} \times_{A} \triangleright U$. We refer to Kadison-Szlachanyi for details.

Remark

The *opposite* of a left bialgebroid $(U, A, s^\ell, t^\ell, \Delta_\ell, \epsilon)$ yields a *right bialgebroid* $(U^{\text{op}}, A, t^\ell, s^\ell, \Delta_\ell, \epsilon)$. The *coopposite* of a left bialgebroid is the *left bialgebroid* given by $(U, A^{\text{op}}, t^\ell, s^\ell, \Delta_\ell^{\text{coop}}, \epsilon)$.

Definition

(Schauenburg) A left bialgebroid U is called a *left Hopf algebroid* or \times_A *Hopf algebra* if the Hopf Galois map α_ℓ

$$\alpha_\ell : \blacktriangleright U \otimes_{A^{\text{op}}} U_{\blacktriangleleft} \rightarrow U_{\blacktriangleleft} \otimes_A \blacktriangleright U, \quad u \otimes_{A^{\text{op}}} v \mapsto u_{(1)} \otimes_A u_{(2)} v,$$

is a bijection. We adopt for all $u \in U$ the following (Sweedler-like) notation

$$u_+ \otimes_{A^{\text{op}}} u_- := \alpha_\ell^{-1}(u \otimes_A 1) \quad (0.3)$$

and the map $u \mapsto u_+ \otimes_{A^{\text{op}}} u_-$ is called the *translation maps*.

Example

If $A = k$, U is a left Hopf algebroid if and only if U is a Hopf algebra and $u_+ \otimes u_- = u_{(1)} \otimes S(u_{(2)})$.

Definition

Likewise, U is called a *left opHopf algebroid* if the Galois map α_r is a bijection.

$$\alpha_r : U_{\triangleleft} \otimes^A_{\triangleright} U \rightarrow U_{\triangleleft} \otimes_A \triangleright U, \quad u \otimes^A v \mapsto u_{(1)} v \otimes_A u_{(2)}.$$

We set

$$u_{[+]} \otimes^A u_{[-]} := \alpha_r^{-1}(1 \otimes_A u), \quad (0.4)$$

and the map $u \mapsto u_{[+]} \otimes^A u_{[-]}$ is called *translation maps*.

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Example

If $A = k$, U is a left opHopf algebroid if and only if U_{coop} is a Hopf algebra and $u_{[+]} \otimes u_{[-]} = u_{(2)} \otimes S^{-1}(u_{(1)})$.

Definition

Let W be a *right* B -bialgebroid. Then W is called a *right Hopf algebroid* (=RHB), respectively a *right opHopf algebroid* (=RopHB) if the Galois maps β_r , resp. β_l , is a bijection.

$$\beta_l : W_{\triangleleft} \otimes_B \triangleright W \rightarrow W_{\triangleleft} \otimes_B \triangleright W, \quad w \otimes y \mapsto yw^{(1)} \otimes w^{(2)},$$

$$\beta_r : \triangleright W_{B^{op}} \otimes W_{\triangleleft} \rightarrow W_{\triangleleft} \otimes_B \triangleright W, \quad w \otimes y \mapsto w^{(1)} \otimes y w^{(2)}.$$

In either case, we adopt the following (Sweedler-like) notation:

$$w^- \otimes w^+ := \beta_r^{-1}(w \otimes 1), \quad w^{[-]} \otimes w^{[+]} := \beta_l^{-1}(1 \otimes w), \quad \forall w \in W,$$

for the translation maps.

The example provided by Lie Rinehart algebras
In this example A will be a commutative k -algebra. The vector space of derivations of A , $Der(A)$, is endowed with a natural A -module structure.

Definition

(Rinehart 1962) A Lie Rinehart algebra (or Lie algebroid) over A is a triple $(L, [-, -], \rho)$ where

- $[-, -] : L \times L \rightarrow L$ is a k -Lie algebra
- L is a (finitely generated projective) A -module
- $\rho : L \rightarrow Der(A)$ (the anchor) is an A -module morphism and a Lie algebra morphism.
- $\forall X, Y \in L, \quad \forall a \in A,$

$$[X, aY] = \rho(X)(a)Y + a[X, Y].$$

Examples

Example 1: $L = \text{Der}(A)$ and $\rho = \text{id}$.

Example 2 : $A = k$. Then $\rho = 0$ and L is a k -Lie algebra.

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Example 3: $(M, \{-, -\})$ is a Poisson manifold with Poisson bivector $\pi \in \Gamma(M, \wedge^2 TM)$, the $A = \mathcal{C}^\infty(M)$ -module of global differential one forms $\Gamma(T^*M)$ is endowed with a Lie Rinehart algebra structure over A as follows :

- The anchor $\rho : \Gamma(T^*M) \rightarrow \Gamma(TM)$ is the map defined by π .
- If ω_1 and ω_2 are two global one forms

$$[\omega_1, \omega_2] = L_{\pi^\sharp(\omega_1)}(\omega_2) - L_{\pi^\sharp(\omega_2)}(\omega_1) + \pi(\omega_1, \omega_2).$$

More algebraically: For any $a, b, u, v \in A$,

- $[adu, bdv] = a\{u, b\}dv - b\{v, a\}du + abd\{u, v\}$
- $\rho(adu) = a\{u, -\}$.

To a Lie Rinehart algebra is associated its enveloping algebra

$$U_A(L) = \frac{T_k^+(A \oplus L)}{J}$$

where J is the two sided ideal generated by the relations: For all $a, b \in A$, for all $D, \Delta \in L$,

- 1 $a \otimes b - ab$
- 2 $D \otimes \Delta - \Delta \otimes D - [D, \Delta]$
- 3 $D \otimes a - a \otimes D - \rho(D)(a)$
- 4 $a \otimes D - aD$

Examples

- ① If $A = k$, L is a Lie algebra and we recover the enveloping algebra of a Lie algebra.
- ② If M is a C^∞ -manifold and $L = \Gamma(TM)$ the enveloping algebra of the Lie Rinehart algebra $(\Gamma(TM), id)$ is the algebra of globally defined differential operators.

(Rinehart 1962) PBW theorem holds for $U_A(L)$ if the A -module L is projective.

If L is a $k - A$ - Lie Rinehart algebra, $U_A(L)$ is endowed with a standard left bialgebroid structure as follows (Xu):

- 1 For all $a \in A$, $s^\ell(a) = t^\ell(a) = a$
- 2 The coproduct Δ is defined by

$$\forall a \in A, \quad \Delta(a) = a \otimes 1, \quad \forall D \in L, \quad \Delta(D) = D \otimes 1 + 1 \otimes D$$

- 3 $\epsilon(D) = 0$ and $\epsilon(a) = a$.

Moreover, $U_A(L)$ is a left Hopf algebroid. The translation maps is determined by the equalities: For all $a \in A$ and all $D \in L$.

$$\begin{aligned} a_+ \otimes a_- &= a \otimes 1 \\ D_+ \otimes D_- &= D \otimes 1 - 1 \otimes D. \end{aligned}$$

As $U_A(L)$ is cocommutative, it is also (op)Hopf.

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If L is projective, $U_A(L)$ satisfies the PBW theorem (see Rinehart). It is a projective A -module but not finitely generated. To get a finitely generated projective left Hopf algebroid, one can take k of characteristic p and take the restricted enveloping algebra $U'_A(L)$.

Let (U, A) be a left bialgebroid. We set

$$U_* := \text{Hom}_A({}_\triangleright U, A) \text{ and } U^* := \text{Hom}_{A^{\text{op}}}(U_{\triangleleft}, A),$$

called, respectively, the *left* and *right* dual of U .

The two dual are endowed with an A^e -ring structure, and even a right bialgebroid structure under finiteness and projectiveness conditions (Kadison-Szlachanyi).

The case of U^* :

For $a \in A$, let us introduce the two elements $s_r^*(a)$ and $t_r^*(a)$ of U^* defined by

$$\forall u \in U, \quad \langle t_r^*(a), u \rangle = a \langle \epsilon, u \rangle, \quad \langle s_r^*(a), u \rangle = \langle \epsilon, us^\ell(a) \rangle. \quad (0.5)$$

Endowed with the following multiplication, U^* is an associative k -algebra with unit ϵ : For all $\phi, \phi' \in U^*$ and all $u \in U$

$$\langle u, \phi \phi' \rangle = \langle s^\ell(\langle u_{(1)}, \phi \rangle) u_{(2)}, \phi' \rangle \quad (0.6)$$

Then $s_r^* : A \rightarrow U^*$ and $t_r^* : A^{op} \rightarrow U^*$ are algebra morphisms and define an A^e -ring structure on U^* :

$$\phi \blacktriangleleft a = \phi s_r^*(a) \quad \text{and} \quad a \blacktriangleright \phi = \phi t_r^*(a).$$

The product on U^* can be written :

$$\langle u, \phi \phi' \rangle = \langle u_{(2)}, t_r^*(\langle u_{(1)}, \phi \rangle) \phi' \rangle \quad (0.7)$$

If U_{\triangleleft} is a finite projective A^{op} -module, the following formula defines a coproduct on U^* :

$$\langle uu', \phi \rangle = \langle ut_{\ell}(\langle u', \phi_{(2)} \rangle), \phi_{(1)} \rangle = \langle u, \phi_{(1)} s_r^*(\langle u', \phi_{(2)} \rangle) \rangle$$

Lastly we have a counit $\eta \in U^*$

$$\langle \mathbf{1}, \phi \rangle = \eta(\phi) \tag{0.8}$$

Thus $(U^*, A, s_r^*, t_r^*, \Delta, \eta)$ is a right bialgebroid.

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Thus $(U^*, A, s_r^*, t_r^*, \Delta, \eta)$ is a right bialgebroid.

The case of U_* : If ${}_{\triangleright}U$ is a finite projective A -module, U_* is endowed with the right bialgebroid structure over A such that $(U_{coop})_* = (U^*)_{coop}$.

Similarly, if W is a right bialgebroid over A , its left dual *W and its right dual ${}_*W$ are endowed with left bialgebroid structure over A .

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Theorem

(Schauenburg (2017), explicit formulas by Kowalzig)

If U is a left Hopf algebroid, then U^ (respectively U_*) is a right (op)Hopf algebroid.*

Hopf modules

Left-left Hopf modules are the objects of study of the fundamental theorem for Hopf modules (Larson-Sweedler). The latter states that, if H is a k -Hopf algebra, there is an equivalence of categories between left-left Hopf modules and k -vector spaces. Left-left Hopf modules can be defined in the case of Hopf algebroids (in the sense of Böhm), in the framework of bimonads over a monoidal category (Bruguères-Virelizier) and in the context of Hopf categories (Batista-Caenepeel-Vercruysse). In all these cases, the Larson-Sweedler theorem for Hopf modules was proved. We will use only a part of this theorem that follows from a flat descent argument (due to Brzezinski).

Definition

1) Let $(W, B, s^r, t^r, \Delta, \partial)$ be a right bialgebroid over the k -algebra A . We will say that M is endowed with a right-right Hopf W -module structure if

- (i) M is endowed with a right W -module structure.
- (ii) M is endowed with a right W -comodule structure denoted Δ_M .
- (iii) These two structures are linked by the following relation :
for all $m \in M$, $w \in W$ and $b \in B$

$$m_{(0)} w_{(1)} \otimes m_{(1)} w_{(2)} = \Delta_M(mw).$$

(iv) $m \cdot b = ms^r(b)$.

2) Left left Hopf modules are defined over a left bialgebroid.

Example

If N is a right A -module, then $N \otimes_{A \blacktriangleright} W$ is a right right Hopf W -module as follows: For all $(w, v) \in W^2$ and all $n \in N$,

$$(n \otimes_{A \blacktriangleright} w) \cdot v = n \otimes_{A \blacktriangleright} wv \quad \text{and} \quad \Delta_{P \otimes_{A \blacktriangleright} W}(n \otimes w) = n \otimes w_{(1)} \otimes w_{(2)}$$

It follows from the fundamental theorem for Hopf modules (Larson-Sweedler for Hopf algebras, Böhm for Hopf algebroids, Bruguières-Virelizier for Hopf monads, Batista-Caenepeel-Vercruyssen for Hopf categories, etc...), that : if W is a right Hopf algebroid and under flatness conditions, all right right Hopf W -modules are of this type (up to isomorphisms).

Theorem

([C]) Let U be a left Hopf left bialgebroid such that U_{\triangleleft} is a finitely generated projective A^{op} -module, then U^ is a right Hopf algebroid with translation map*

$$\phi \in U^* \mapsto \phi^- \otimes \phi^+ \in {}_{\blacktriangleright} U^* \otimes_{A^{\text{op}}} U_{\triangleleft}^*.$$

If $\phi \in U^$ and $u \in U$,*

$$\begin{aligned} u \cdot \phi &= \epsilon_r^{U^*} [t_r^* (\langle u, \psi \phi^- \rangle \phi^+)] \\ \Delta_U(u) &= e_i u_{\triangleleft} \otimes_{A_{\blacktriangleright}} e_i^* \end{aligned}$$

where (e_i, e_i^) is the dual basis of the right finitely generated A^{op} -module U_{\triangleleft} .*

From the Larson-Sweedler theorem for Hopf modules, we deduce an isomorphism of right U^* -modules and right U^* -comodules

$$\begin{aligned} \blacktriangleright U^{\text{cov}} \otimes_A U^* &\simeq U \\ u_0 \otimes \phi &\mapsto u_0 \cdot \phi \end{aligned}$$

But $U^{\text{cov}} = \{u \in U, \forall v \in U, uv = s^\ell \epsilon(u)v\}$ is the A -module of left integrals of U .

Remark

Case of Hopf algebras (Larson-Sweedler), case of Hopf algebroid (Böhm).

Frobenius extensions

A monomorphism of k -algebras $s : A \rightarrow U$ defines an A^e -module structure on U : For all $(a, b) \in A^2$, $u \in U$,

$$a \cdot u \cdot b = s(a)us(b).$$

As usual, $a \cdot u \cdot b$ will be denoted $a \triangleright u \triangleleft b$. Recall that an A^e -module structure on U defines an A^e -module structure on U_* as follows : For all $\psi \in U_*$, $a \in A$, $v \in U$,

$$a \triangleright \psi = s(a) \rightarrow \psi, \quad \langle \psi \triangleleft a, v \rangle = \langle \psi, v \rangle a.$$

It is also endowed with the left U -module structure given by the transpose of the right multiplication

$$\forall \psi \in U_*, \quad \forall (u, v) \in U^2, \quad (v \rightarrow \psi)(u) = \psi(uv).$$

Definition

(Karsch 1954) A monomorphism of k -algebras $s : A \rightarrow U$ is called a Frobenius extension if

- 1 ${}_U U$ is finitely generated and projective
- 2 The $U \otimes A^{op}$ -modules ${}_U U_{\blacktriangleleft}$ and $U_{*\blacktriangleleft}$ are isomorphic

Proposition

*([C]) Let $(U, A, s^\ell, t^\ell, \Delta^\ell, \epsilon)$ be a left Hopf algebroid such that the A^{op} -module U^*_{\triangleleft} is flat. The extension $t^\ell : A^{\text{op}} \rightarrow U$ is Frobenius if and only if*

- ① U_{\triangleleft} is a finitely projective A^{op} -module
- ② $\triangleright \left(\int_U^\ell \right)$ is a free A -module of rank 1.

The proof follows from the Larson-Sweedler theorem for Hopf modules.

Remarks

- ① If $A = k$ is a field, the k -algebra U is Frobenius if and only if the monomorphism $k \rightarrow U$ is a Frobenius extension.
- ② Pareigis showed that a A -Hopf algebra (with A commutative) satisfying the two conditions of the theorem is Frobenius.
- ③ Böhm : Case of Hopf algebroid:
- ④ M.C. Ivanov and L. Kadison investigated when a weak Hopf algebra is Frobenius.
- ⑤ Morita showed that the monomorphism $s : A \rightarrow U$ is a Frobenius extension if and only if the restriction functor is a Frobenius functor.

Definition

(Muller 1971) Recall that an A^e -module structure on U defines an A^e -module structure on U_* as follows : For all $\psi \in U_*$, $a \in A, v \in U$,

$$a \triangleright \psi = s(a) \rightarrow \psi, \quad \langle \psi \blacktriangleleft a, v \rangle = \langle \psi, v \rangle a.$$

Endow U_* with the left U -module structure given by the transpose of the right multiplication

$$\forall \psi \in U_*, \quad \forall (u, v) \in U^2, \quad (v \rightarrow \psi)(u) = \psi(uv).$$

A monomorphism of k -algebras $s : A \rightarrow U$ is called quasi-Frobenius if

- ① ${}_U U$ is finitely generated and projective
- ② The $U \otimes A^{op}$ -module ${}_U U_\blacktriangleleft$ is a direct summand in a finite direct sum of copies of $U_{*\blacktriangleleft}$.

Remarks

- ① Quasi-Frobenius functors were introduced by Iglesias-Nastasescu-Vercruyssen (2010). The monomorphism $s : A \rightarrow U$ is a quasi-Frobenius extension if and only if the restriction functor is a quasi-Frobenius functor.
- ② Pareigis (1964) showed that a finitely generated projective Hopf algebra over a commutative ring is quasi-Frobenius.
- ③ Böhm-Nill-Szlachányi showed that weak Hopf algebras are quasi-Frobenius.

Proposition

*([C]) Let (U, A, s^ℓ, t^ℓ) be a left Hopf algebroid such that the A^{op} -module U^*_{\triangleleft} is flat. The extension $t^\ell : A^{\text{op}} \rightarrow U$ is quasi-Frobenius if and only if*

- ① U_{\triangleleft} is a finitely projective A^{op} -module*
- ② $\triangleright \left(\int_U^\ell \right)$ is a finitely generated projective A -module.*

In this section, we apply our theory to the restricted enveloping algebra of a restricted Lie-Rinehart algebra. We will assume that k is a field of characteristic p .

Definition

Let A be a commutative k -algebra and let $(A, L, (-)^{[-]}, \omega)$ be a restricted Lie-Rinehart algebra. The restricted universal enveloping algebra $U'_A(L) = \frac{U_A(L)}{\langle D^p - D^{[p]}, D \in L \rangle}$.

We set $J'(L) = [U_A(L')]^*$.

Examples

- ① If $A = k$, recover a restricted Lie algebra and its restricted enveloping algebra.
- ② If $L = \text{Der}(A)$, recover the algebra of differential operators over A .

Proposition

Assume that L restricted Lie-Rinehart algebra which is is a finitely generated projective A -module with a rank. Set $J'_A(L) = [U_A(L)']^*$.

Then $\int_{U'_A(L)}^\ell$ and $\int_{J'_A(L)}^\ell$ are projective A -module of rank one. Thus, $s^\ell : A \rightarrow U'_A(L)$, $s_*^r : A \rightarrow J'_A(L)$ and $t_*^r : A \rightarrow J'_A(L)$ are quasi-Frobenius extensions.

They are Frobenius extension if L is a finitely generated free A -module.

Remark

The case of a Lie algebra had been proved by Berkson in 1964.

THANK YOU FOR YOUR ATTENTION!